



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John S. HENDRICKS

Atty. Docket No. 026880-00017

Serial No.: 09/722,519

Examiner: Longbit Chai

Filed: November 28, 2000

Art Unit: 2131

For: ELECTRONIC BOOK SECURE COMMUNICATION WITH HOME  
SUBSYSTEM

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
.0  
Sir:

Date: September 28, 2006

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

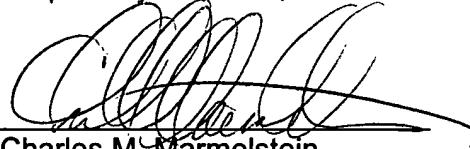
- ☐ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

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- ☒ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
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- ☒ 4. The references were cited in corresponding foreign applications and related U.S. and foreign applications.
- ☒ 5. Copies of the foreign patent documents and the publications are on the attached CD.

In the event that any fees are due with respect to this paper, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 01-2300, referencing Atty. Docket No. 026880-00017.

Respectfully submitted,



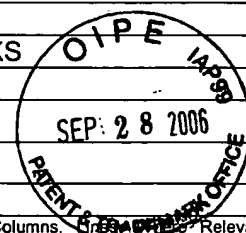
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Form PTO/SB/08a				Complete if Known		
				Application Number	09/722,519	
				Filing Date	November 28, 2000	
				First Named Inventor	John S. HENDRICKS	
				Art Unit	2131	
Examiner Name	Longbit Chai					
Sheet	1	of	28	Attorney Docket Number	026880-00017	
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Figures or Relevant Passages or Relevant Figures Appear



	3,869,873		n/a	Ringstad	
	3,891,792		n/a	Kimura	
	3,978,470		n/a	McGuire	
	4,071,697		01-1978	Bushnell et al.	
	4,197,590		4/8/1980	Sukonick, et al.	
	4,251,671		n/a	Reed et al.	
	4,298,793		11/1981	Melis et al.	
	4,361,848		11/30/1982	Poigner et al.	
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	4,405,829		09-1983	Rivest et al.	
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	4,633,462		n/a	Stifle et al.	
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	4,644,470		Feb-87	Feigenbaum et al.	
	4,653,100		03/1987	Barnett, et al.	
	4,668,218		5/26/1987	Virtanen	
	4,668,246		n/a	Eilers et al.	
	4,673,976			Wreford-Howard	

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		4,688,218		8/18/1987	Bliveau et al.	
		4,688,245		8/18/1987	Eilers, et al.	
		4,691,109		10/2/1990	Tanaka	
		4,694,483		9/1987	Cheung	
		4,694,490		9/15/1987	Harvey et al.	
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		4,706,121		n/a	Young	
		4,712,105		12/8/1987	Kohler	
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		4,724,491		2/9/1988	Lambert	
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				Examiner Name	Longbit Chai		
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		4,965,825		10/23/1990	Harvey, et al.	
		4,975,951		12/4/1990	Bennett	
		4,977,455		12/11/1990	Young	
		4,982,344		1/1991	Jordan	
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		5,001,554		3/19/1991	Johnson et al.	
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		5,010,499		04-1991	Yee, Keen Y.	
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		5,115,426		n/a	Spanke	
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	5,124,980		6/23/1992	Maki	
	5,130,792		7/14/1992	Tindell, et al.	
	5,130,924		7/1992	Barker, et al.	
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	5,208,745		05-1993	Quentin et al.	
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	5,221,962		n/a	Backus et al.	
	5,222,136		06-1993	Rasmussen et al.	
	5,223,924		6/29/1993	Strubbe	
	5,233,333		08-1993	Borsuk, Sherwin M.	
	5,235,419		8/10/1993	Krause et al.	
	5,235,619		8/1993	Beyers et al.	

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		5,235,680		8/1993	Bijnagte	
		5,237,311		8/17/1993	Mailey, et al.	
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		5,242,770		n/a	Schmelzer et al.	
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		5,253,066		10/12/1993	Vogel	
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		5,253,341		n/a	Rozmanith et al.	
		5,260,778		11/9/1993	Kauffman et al.	
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		5,291,554			Morales	
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		5,321,846		6/1994	Yokota et al.	
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		5,329,590		7/12/1994	Pond	
		5,339,091		08-1994	Yamazaki et al.	
		5,339,239		8/16/1994	Manabe et al.	
		5,339,315		8/16/1994	Maeda, et al.	
		5,341,166		8/23/1994	Garr, et al.	
		5,341,426		08/23/1994	Barney et al.	

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		5,341,474		8/23/1994	Gelman, et al.	
		5,343,239		8/30/1994	Lappington	
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				Application Number	09/722,519		
				Filing Date	November 28, 2000		
				First Named Inventor	John S. HENDRICKS		
				Art Unit	2131		
Examiner Name				Longbit Chai			
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<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

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				Examiner Name	Longbit Chai	
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		5,636,036		06-1997	Ashbey, James A.	
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				Art Unit	2131	
				Examiner Name	Longbit Chai	
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		5,754,172			Kubota et al.	
		5,758,257		5/26/1998	Herz et al.	
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Application Number	09/722,519
Filing Date	November 28, 2000
First Named Inventor	John S. HENDRICKS
Art Unit	2131
Examiner Name	Longbit Chai

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Attorney Docket Number	026880-00017
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				Examiner Name	Longbit Chai
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				Examiner Name	Longbit Chai
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

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